## Exhibit 7

, ;;;

IN THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF TEXAS DALLAS DIVISION

STEPHEN McCOLLUM,	<b>§</b>
STEPHANIE KINGREY, AND	<b>§</b>
SANDRA McCOLLU,	<b>§</b>
INDIVIDUALLY AND AS	<b>§</b>
HEIRS AT LAW TO THE	<b>§</b>
ESTATE OF LARRY GENE	<b>§</b>
McCOLLUM,	§ CIVIL ACTION NO.
Plaintiffs,	§ 3:12-CV-02037
	§
VS.	<b>§</b>
	<b>§</b>
BRAD LIVINGSTON, JEFF	<b>§</b>
PRINGLE, RICHARD CLARK,	\$
KAREN TATE, SANDREA	<b>§</b>
SANDERS, ROBERT EASON,	\$
THE UNIVERSITY OF TEXAS	<b>§</b>
MEDICAL BRANCH AND THE	\$
TEXAS DEPARTMENT OF	§
CRIMINAL JUSTICE,	§ .
Defendants.	§

ORAL AND VIDEOTAPED DEPOSITION OF WILLIAM L. STEPHENS

VOLUME 1

October 18, 2013

ORAL AND VIDEOTAPED DEPOSITION OF WILLIAM L. STEPHENS, produced as a witness at the instance of the PLAINTIFFS, and duly sworn, was taken in the above-styled and numbered cause on October 18, 2013, from 4:50 p.m. to 8:05 p.m., before Brenda J. Wright, RPR, CSR in and for the State of Texas, reported by machine shorthand, at the Office of the Attorney General, 300 West 15th Street, Suite 1200, Austin,

25

Stephen McCollum, et al. v. Brad Livingston, et al.

William L. Stephens October 18, 2013

27

1 believe in this situation the officer had a radio.

2 I'm not positive, though.

Q. You - and just to make the record clear.

4 The officer doesn't have a phone on him, he would need

5 to tell someone else to call 911?

A. Right. Even our phones on the housing area
 do not have access to call a number outside the
 institution.

Q. Okay. But you think the officer should have
taken that step when he ascertained it was an
emergency?

12 A. If he ascertained it was an emergency, then,13 yes.

Q. Okay. We talked with Mr. Thaler some about 15 how policies are made for TDCJ. Do you remember that 16 discussion?

17 A. Yes, sir.

Q. I'm going to ask you some questions that weasked Mr. Thaler, because since you're in Mr. Thaler's

20 position now, I just want to see if you have any

21 different understanding than he does or maybe you know

22 or remember something that he didn't. Okay?

23 A. Okay.

Q. We talked about whether Mr. Livingston, whathis level of involvement is with the policies that are

1 Division, I've had several conversations with

2 Mr. Livingston about different operational type

3 issues. You know, right now, the Prison Rape

4 Elimination Act is a tremendous issue going on in our

5 agency. The Implementation of some current

6 legislation that we're trying to put out is a - is a

7 tedious task, so I've had conversations with him about

8 those issues.

9 Q. Can you recall any other issues you've
10 talked with Mr. Livingston about besides Prison Rape

11 Elimination Act implementation and the implementation

12 of some new legislation?

13 A. There is issues, yes, sir, I've talked to

14 him about.

15 Q. What else do you remember talking with him

16 about?

17 A. Do you want me to name everything that I've

18 talked to Mr. Livingston about?

Q. How - well, let's - how often do you talk

20 with Mr. Livingston?

1 A. I would say, daily.

Q. Daily. Fair enough. Does he work in the

23 same office as you?

4 A. Excuse me?

Q. Does he work in the same physical office

1 made for the Correctional Institutions Division. Do

2 you remember that?

3 A, Yes.

Q. And some of the administrative directives or policies that Mr. Livingston has to sign off on and approve. Is that right?

A. As I understand it, yes.

Q. Okay. Do you know if Mr. Livingston reads

9 those policies before he signs off on them?

10 A. No, I do not know what Mr. Livingston does

Q. Has Mr. Livingston ever asked you any
 questions about one of these policies before his
 signature —

15 A. No, sir.

Q. Okay. Have you ever consulted with himabout a policy before he approves it to tell him,

18 like, you know, Mr. Livingston, this is a new policy

19 we're proposing, this is why we think it's necessary,

20 or anything like that?

21 A. About a policy, no.

22 Q. Okay. When have you talked with

23 Mr. Livingston?

A. Well, after taking on this job and becoming
 a -- the director for the Correctional Institutions

1 as --

11

26

A. No, sir, not in the same office. He's down the hallway.

Q. Okay. The same office building?

A. The same building, that's correct.

Q. Have you ever talked with Mr. Livingston

about the temperatures inside the housing areas?

8 A. I have not had that conversation with him, 9 no, sir.

10 Q. Have you ever approached him about that?

A. No, sir, I have not.

12 Q. You've never told him that you think that's

13 an important issue that you need to talk about with 14 him?

15 A. I have not had the conversation with

16 Mr. Livingston about temperatures in the dorms.

17 Q. Why not? Why haven't you ever talked with

18 him about that?

A. Because I feel we are doing a good job of mitigating the circumstances and the -- the effects

21 that the temperature in the dorms has on the 22 offenders.

23 Q. So you -- because you think that you're

24 doing a good job, you don't see any need to involve

25 Mr. Livingston. Is that -

WRIGHT WATSON & ASSOCIATES

(800) 375-4363 3307 Northland Dr., Ste. 185 Austin, TX 78731-4946 (512) 474-4363 b339ddc5-72el-4350-a49e-946a9deb2499

**Stephen** McCollum, et al. v. Brad Livingston, et al.

William L. Stephens October 18, 2013

1 upon the posting. I will tell you that not all 1 And I don't mean aggressive like a -2 postings go -- you know, the commissary will post A. Yeah, bad word there. Yes, sir, i believe 3 their list every so often of items that we're out of 3 it's reasonable that they should -- they could check 4 stock and things, and those wouldn't be. But I guess on him. 5 I would answer that, it's dependent upon the posting. Q. Just wake him up maybe, hey, are you doing Q. Would a posting about protecting prisoners okay? 6 7 from heat be something that a - the warden should be A. I believe that's a reasonable expectation, 7 8 keeping? yes, sir. 8 A. I'm not sure that there is a requirement for Q. Okay. We've had some discussion about -- we 10 that posting to be filed somewhere. Again, based upon 10 were calling them respite areas with Mr. Thaler, 11 my experience as being a warden and my operational places where the inmates have an opportunity to go to review sergeant on my unit, I had that individual where it is air conditioned. Do you recall that? 13 responsible for posting things on the day room, and A. Yes, sir. 13 14 they would keep a copy of what they were posting. But Q. How are inmates informed about these 15 I can't tell you there is any clear guidelines out opportunities to go to one of these cooled-off areas? 16 there about what they should keep copies of and what A. There should be a posting on the bulletin they should not 17 board in the dorm. If -- you know, again, if the Q. Okay. Take a look at Exhibit 52 for me, 18 18 officer is witnessing stress or the inmate is having 19 Mr. Stephens. some difficulty in the - in his activities and all, 20 A. Yes, sir. 20 then should be allowed to go to that area. Q. That's a letter that we talked about with 21 Q. So the -21 22 Mr. Thaler that has Mr. Livingston's signature on it A. Request -- request permission from the 22 to Representative Sylvester Turner. Does that look -23 officer, or in some cases we may escort him to our 24 is that right? infirmary, wherever that may be. A. That's correct. Q. So the -- there would be either a posting in 25 76 74 Q. Were you involved in the drafting of this

1 the dorm on the bulletin board, or the officer, if 2 they noticed somebody looked in distress, they could 3 say, hey, do you need to go to cool off? A. That is correct. Q. Any other ways that an inmate would be 6 Informed by TDCJ about that opportunity? A. At this point, I can't recall any other way. Q. How does a posting on the bulletin board get R 9 approved? A. The warden approves postings on the bulletin 10 11 board. Now, there is times, because of maybe a

that would require it to be posted on the board. 14 Q. Generally, like a correctional officer, a 15 16 low level officer, couldn't just put something up on 17 the board, though. It should be approved by the 18 warden. Is that --A. Generally, that doesn't happen. The warden 19 20 approves the posting on the bulletin board. Q. And are copies of those postings kept 22 anywhere? Like if the warden sald, we're going to put 23 this posting up about heat, would a copy of that go in

12 systemic issue, the director or the division head,

because of the -- I guess, the wide significance of it

24 the file somewhere? A. I -- my experience is that it's dependent

25

2 letter? A. I don't remember being involved in this

Q. Do you know -- before today, had you ever 5 seen a copy of that letter?

A. No, sir. And I will say that up until 8 getting the job that I have now, I don't think I ever drafted a letter for Mr. Livingston.

Q. Okay. Is that something you do in your 11 current position?

A. I've done one. 12

Q. What was that about?

A. It was -- it was a response to a family 15 member, and I think I dld such a bad job they won't

ask me again.

Q. That's a good way to get out of things in 18 the future.

A. Well, it wasn't on purpose. I tried. 19

Q. All right. Let's talk about these directors 20 21 meetings.

A. Sure. 22

Q. You are looking at Exhibit 53. That's the 23

24 meeting from July 2010. Is that right?

A. That's correct.

13

25

Stephen McCollum, et al. v. Brad Livingston, et al.

William L. Stephens October 18, 2013

113 115 1 you see that, Mr. Stephens? 1 probably was. A. Yes, sir. I'm sorry. 58? Q. How often does Mr. Livingston attend one of Q. Yes, Exhibit 58. Yes. If I could refer you 3 these meetings? 4 to page 649. The first page, I'm sorry. A. Not very often. He has been known to stick A. These start with seven. 5 his head in there, though, and if he -- I don't know. Q. 749. 6 Not often. I couldn't quess. A. Okay. All right. 749. Q. From your point of view, how involved is Q. It looks like you discuss security reviews 8 Mr. Livingston with the day-to-day operations of the 9 at this meeting and had a handout? actual prison units as opposed to the other things that TDCJ does? A. Yes, sir. 10 Q. And then on page 750, it looks like you -A. Well, my experience dealing directly with 12 excuse me. It looks like, on page 751, it indicates 12 Mr. Livingston has started in the last four months. 13 you discussed heat preparations? 13 and I can tell you, he is involved. Q. Do you ever send e-mails to Mr. Livingston? 14 A. Yes, sir. 14 A. I can't think of a time I've sent him an Q. Given that this is the March minutes, what 15 15 16 would it mean that you were discussing heat e-mail, no, sir. Q. I'll refer you to page 760. 17 17 preparations? A. Getting ready, preparing for the upcoming A. Yes, sir. Q. Do you see that? Do you see where it says 19 summer months. 20 Heat-Related Illness in the middle? Q. And what would that include? 20 A. Yes, sir. A. Make sure they have sufficient water 21 21 Q. On the side there is an asterisk, then it 22 coolers, make sure the fans are in operational order, 23 says, talk to WS. I assume that's you? 23 make sure that we're starting to reemphasize training A. I make that assumption, too. Again, I 24 and having an awareness out there in the field. 25 didn't write the note, so... Q. Anything else? 25 116 114 Q. Do you have any recollection of this A. I can't think of it right now. 2 meeting? Q. Okay. You see page 7547 A. No, sir, no direct recollection. A. Yes, sir. 3 Q. Do you remember anybody specifically coming Q. Do you see where it says Heat-Related 5 up to talk to you after this meeting about heat 5 Illness at the bottom? 6 precautions? A. Yes, sir. A. No, sir. Q. Do you see where it says, talk about every Q. Do you have any recollection why it would 8 month? 9 say, talk to WS, there? A. Yes, sir. A. No, sir. Q. Is that, again, part of emphasizing --10 10 Q. You would agree that it appears that at this A. I make that assumption, yes, sir. Based 11 11 12 meeting there were -- it was discussed that ten men upon -- I didn't write these notes either. 13 had died last year, relating to heat? Q. And you don't have any direct memory of this 13 A. lagree. 14 meeting? 14 Q. Okay. And that the other things that were 15 A. No, sir. 15 16 checked - discussed were fans, training, and wellness Q. You see page 757? 16 17 checks? A. Yes, sir. 17 Q. You see on that first line all the way on A. Yes, sir. 18 18 Q. Would it be fair to say that around this the right, it says, B. Livingston? 19 19 20 time period was when the change was made to create the A. I see that, yes, sir. 21 wellness checks system that we've talked about Q. I assume that is Brad Livingston? 21 A. Yes, sir.

WRIGHT WATSON & ASSOCIATES

Q. Do you remember if he was at this meeting?

A. I don't remember if he was at the meeting,

25 but my assumption is, based upon these notes, he

A. I think it -- not -- not being able to

25 generally, around this time, yes, sir.

24 remember exactly when it happened, I would say,

Stephen McCollum, et al. v. Brad Livingston, et al.

William L. Stephens October 18, 2013

139

140

A. - and this is just one of them I would look

2 at.

1

7

3 Q. That would be one item on your list?

A. Yes, sir. 4

Q. Do you have any doubt that it was very hot 5

inside at the Hutchins Unit during the summer?

A. No. sir. I don't have any doubt.

Q. How about the Gurney Unit, any doubt? 8

A. No, sir, I don't have any doubt. 9

Q. How about the Michael Unit? 10

A. No, sir. 11

Q. The Huntsville Unit? 12

A. No. sir. 13

Q. The Hodge Unit? 14

A. No. sir. 15

Q. The Garza East Unit? 16

A. No. sir. 17

Q. Garza West Unit? 18

A. No, I don't have any. 19

Q. Okay. If - would you characterize 20

Mr. Livingston as being hands-on with the prison 21

22 system?

A. Yes, sir. I -- yes, sir. I would -- he is 23

a very good leader of this agency. 24

Q. He is very engaged with the problems that 25

A. We have some.

2 Q. Do you know anything about the program that

3 raises pigs?

5

10

14

23

25

2

7

13

16

4 A. No, sir.

Q. Okay. Do you know what those pigs are

raised for, what the purpose --

A. I assume for the meat.

Q. They're raised for slaughter?

A. Yes. sir.

Q. Is that --

A. I say, I assume. I know we have the packing

plant at Michael, I know they slaughter the pigs and

then the meat, in turn, goes to our kitchens.

Q. You've seen various parts of the system

that -- like the packing plant is one part of the

system that would process the pigs?

A. Sure. And while being the Region II 17

director in Tennessee Colony, there were different

19 places they kept pigs.

Q. Were you -- did you ever go to any of those 20

places that kept the pigs? 21

22 A. I have, yes, sir.

Q. Were any of those places climate controlled?

24 A. No. sir.

Q. None of the places you visited?

138

1 the agency is facing. Is that fair? A. I would say he's engaged, yes, sir. 2

Q. If Director Livingston told you to -- that

4 he thought we needed to implement air conditioning in

5 some part of the Hutchins Unit, would you take steps

6 to make that happen?

A. I wouldn't be involved in that.

Mr. Livingston would actually coordinate the folks on 8

the facilities side of the agency.

Q. He would talk to the folks like Mr. Vian and 10

11 that -

A. Or Mr. Inmon. 12

Q. Mr. Inmon is Mr. Vlan's supervisor? 13

A. Yes, sir. 14

Q. Okay. But he would go down through the 15

16 Facilities Division to make that happen?

A. Sure. And probably budget and finance area. 17

Q. If you wanted - if you decided, 18

19 hypothetically, that you thought, we really need to

air condition parts of the Hutchins Unit, would you

take that up to Mr. Livingston, and then if he agreed,

22 it would go back down through the Facilities?

A. Yes, sir. That would be my route. 23

Q. Okay. Are you familiar with TDCJ's 24

25 agricultural program?

A. No. sir.

Q. Do you know if there are -

A. Well, the packing plant was. 3

Q. The packing plant? 4

A. Yes, sir. But where we actually -- the pigs

are raised and whatnot was not.

Q. Could there be parts of the system where the

pigs are kept in climate controlled conditions?

A. I haven't been to every pig farm in every

part of the state. I'm sorry. 10

Q. That's fine. 11

A. But I don't know. There could be. 12

Q. If there were media reports that that was

true, would you have any reason to dispute that?

A. No, sir.

Q. Okay. We heard Mr. Thaler talk about the

wisdom of the decision to climate control the swine

barns but not some of the inmate housing areas. Do

19 you remember that?

A. The wisdom?

20 Q. The -- he -- I believe Mr. Thaler testified 21

22 he thought that that -- those resources could be

better spent taking care of the prisoners than the

pigs. Do you remember that? 24

A. No, sir, I don't remember that. 25

WRIGHT WATSON & ASSOCIATES

Stephen McCollum, et al. v. Brad Livingston, et al.

William L. Stephens October 18, 2013

	145	<u> </u>	147
1	Q. Is that Mr. Clark, Jason Clark?	1	SIGNATURE
2	A. Jason Clark. That's correct.	2	SIGNATORE
3	Q. Thank you for your time, Mr. Stephens.	3	I, WILLIAM L. STEPHENS, have read the
4	appreciate you staying. I passed when I promised	4	foregoing deposition and hereby affix my signature
5	Mr. Garcia that we would be done tonight. Appreciate	5	that same is true and correct, except as noted above.
1	it.	6	
7	THE WITNESS: Yes, sir.	7	
	MS. COOGAN: I have no questions for	8	
8	Mr. Stephens.		WILLIAM L. STEPHENS
9	MR, GARCIA: I have no questions at	9	
10	•	10	
11	this time.	11	JOB NO. 131018BJW
12	MR. ANASTASIDIS: No questions.	12	[
13	THE VIDEOGRAPHER: That concludes the	13	
14	deposition. We're off the record at 8:01 p.m.	14	
15		15	1
16		16	
17		17	
18		18	
19		19	
20		20	
21		21	
22		22	
23		23	
24		24	
25		25	
$\vdash$	146		148
١.	CHANGES AND CORRECTIONS	1	IN THE UNITED STATES DISTRICT COURT
1	WILLIAM L. STEPHENS - October 18, 2013 VOLUME 1	١,	FOR THE NORTHERN DISTRICT OF TEXAS DALLAS DIVISION
1 2	(DISREGARD IF WAIVED)	3	STEPHEN McCOLLUM, §
1 %	(Dioneonio ii vii vi ==)	4	STEPHANIE KINGREY, AND §
7	Reason Codes: (1) to clarify the record; (2) to	=	INDIVIDUALLY AND AS § HEIRS AT LAW TO THE §
5	conform to the facts; (3) to correct a transcription	"	ESTATE OF LARRY GENE §
`	error; (4) other (ptease explain).	6	McCOLLUM, § CIVIL ACTION NO. Plaintiffs, § 3:12-CV-02037
6	PAGE/LINE CHANGE REASON CODE	7	§
7		8	VS. §
8		9	BRAD LIVINGSTON, JEFF § PRINGLE, RICHARD CLARK, §
9			KAREN TATE, SANDREA §
10		10	SANDERS, ROBERT EASON, § THE UNIVERSITY OF TEXAS §
11		11	MEDICAL BRANCH AND THE §
12		12	TEXAS DEPARTMENT OF § CRIMINAL JUSTICE, §
13			Delendants. §
14		13	
15		14	REPORTER'S CERTIFICATION ORAL AND VIDEOTAPED DEPOSITION OF
17	<del></del>	15	WILLIAM L. STEPHENS
118		116	VOLUME 1 October 18, 2013
118		1	
20			Reporter in and for the State of Texas, hereby certify
21		19	to the following:  That the witness, WILLIAM L. STEPHENS, was duly
22		121	sworn by the officer and that the transcript of the coral deposition is a true record of the testimony
2		23	l alven by the witness:
24		24	
125	JOB NO. 131018BJW	143	A LONGO AL MILI LI MONGONIAL LIGIN ANTONIAL LINE LA LINE LA LA

Stephen McCollum, et al. v. Brad Livingston, et al.

William L. Stephens October 18, 2013

```
149
                                                                                                                                                                    151
     well as Rule 30(e)(2) that the signature of the
                                                                                          the action.
                                                                                                Certified to by me this 1ST day of NOVEMBER.
 2 deponent:
                                                                                       2
             _X__ was requested by the deponent and/or a
                                                                                      3 2013.
                                                                                                                              (ounde)
 4 party before completion of the deposition and is to be
 5 returned within 30 days from date of receipt of the
                                                                                       5
 6 transcript. If returned, the attached Changes and
                                                                                                        BRENDA J. WRIGHT, Texas CSR No. 1780
 7 Corrections and Signature pages contain any changes
                                                                                                        Expiration Date: 12-31-14
                                                                                       6
 8 and the reasons therefor;
                                                                                                        WRIGHT WATSON & ASSOCIATES
                  was not requested by the deponent and/or a
                                                                                                        Firm Registration No. 225
10 party before the completion of the deposition.
                                                                                                        Expiration Date: 12-31-13
          That $_
                                            is the deposition
                                                                                                        3307 Northland Drive
                                                                                       8
12 officer's charges for preparing the original
                                                                                                        Suite 185
13 deposition transcript and any copies of exhibits,
                                                                                                        Austin, Texas 78731
                                                                                       9
14 charged to PLAINTIFFS;
                                                                                                        512-474-4363/51-474-8802 (fax)
          That pursuant to information given to the
                                                                                                        www.wrightwatson.com
                                                                                      10
16 deposition officer at the time said testimony as
                                                                                          JOB NO. 131018BJW
    taken, the following includes all parties of record:
                                                                                     11
18 For the Plaintiffs:
                                                                                     12
          Mr. Jeff Edwards
                                                                                     13
          THE EDWARDS LAW FIRM
19
                                                                                      14
           The Haehnel Building
                                                                                     15
           1101 East 11th Street
20
                                                                                      16
           Austin, Texas 78702
                                                                                      17
           512-623-7727/512-623-7729 (fax)
21
                                                                                      18
          jeff@edwards-law.com
                                                                                      19
22
               -and-
                                                                                     20
           Mr. Scott Medlock
           TEXAS CIVIL RIGHTS PROJECT
                                                                                     21
23
                                                                                     22
           1405 Montopolis Drive
                                                                                     23
           Austin, Texas 78741
24
                                                                                      24
           512-474-5073/512-474-0726 (fax)
                                                                                      25
25
                                                                               150

    For the Defendants Jeff Pringle, Richard Clark, Karen
Tate, Sandres Sanders, Robert Eason and Texas
    Department of Criminal Justice:

         Mr. Bruce R. Garcia
Assistant Attorney General
OPFICE OF THE ATTORNEY GENERAL OF TEXAS
Law Enforcement Defense Division 012
  3
          Post Office Box 12548
  5
          300 West 15th Street
          Auslin, Texas 78711-2548
512-463-2080/512-495-9139 (fax)
  8
          bruce.garcia@texasattomeyganeral.gov
     For the Defendants Brad Livingston, William Stephens
  8
     and Richard Thaier.
          Mr. Demetri Anastasidis
          Mr. Demetri Anasosolo
Assistant Atlomey General
OFFICE OF THE ATTORNEY GENERAL OF TEXAS
Law Enforcement Defense Division 012
Post Office Box 12548
 10
          300 West 15th Street
Austin, Texas 78711-2548
 11
          512-463-2153/ 612-495-9139 (lax) demetri.anasiasidis@texasattornaygeneral.gov
 12
 13
      For the Defendant University of Texas Medical Branch:
          Ms. Kim Coogan
Assistant Attorney General
OFFICE OF THE ATTORNEY GENERAL OF TEXAS
Law Enforcement Defense Division
Post Office Box 12548
 14
 15
  16
          Austin, Texas 78711-2548
512-463-2080/512-495-9139 (fax)
  17
          kim.coogan@lexasattomeygeneral.gov
      I further certify that I am neither attorney
nor counsel for nor related to nor employed by any of
the parties to the action in which this deposition is
      taken:
      Further, I am not a relative nor an employee of any attorney of record in this cause, nor am I financially or otherwise interested in the outcome of
```

## Exhibit 4A